

Figure 1

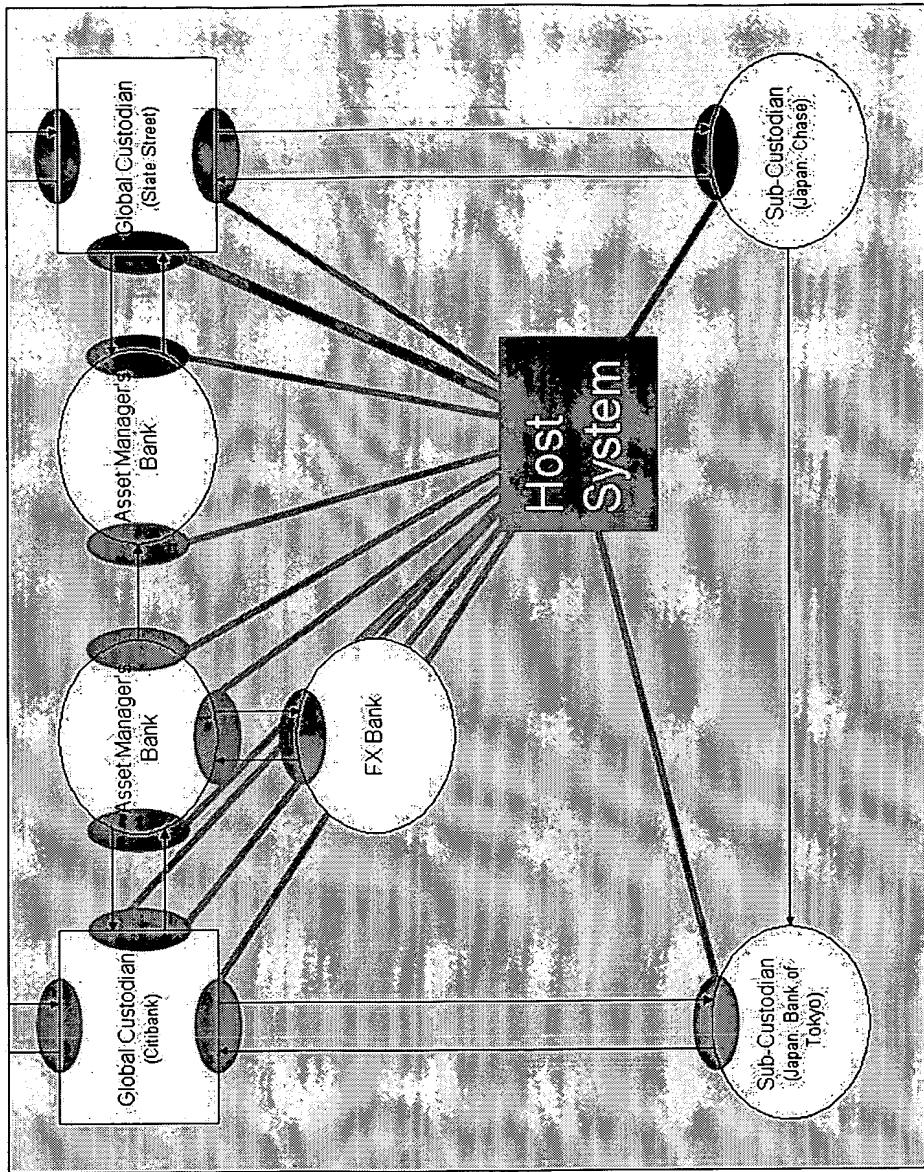
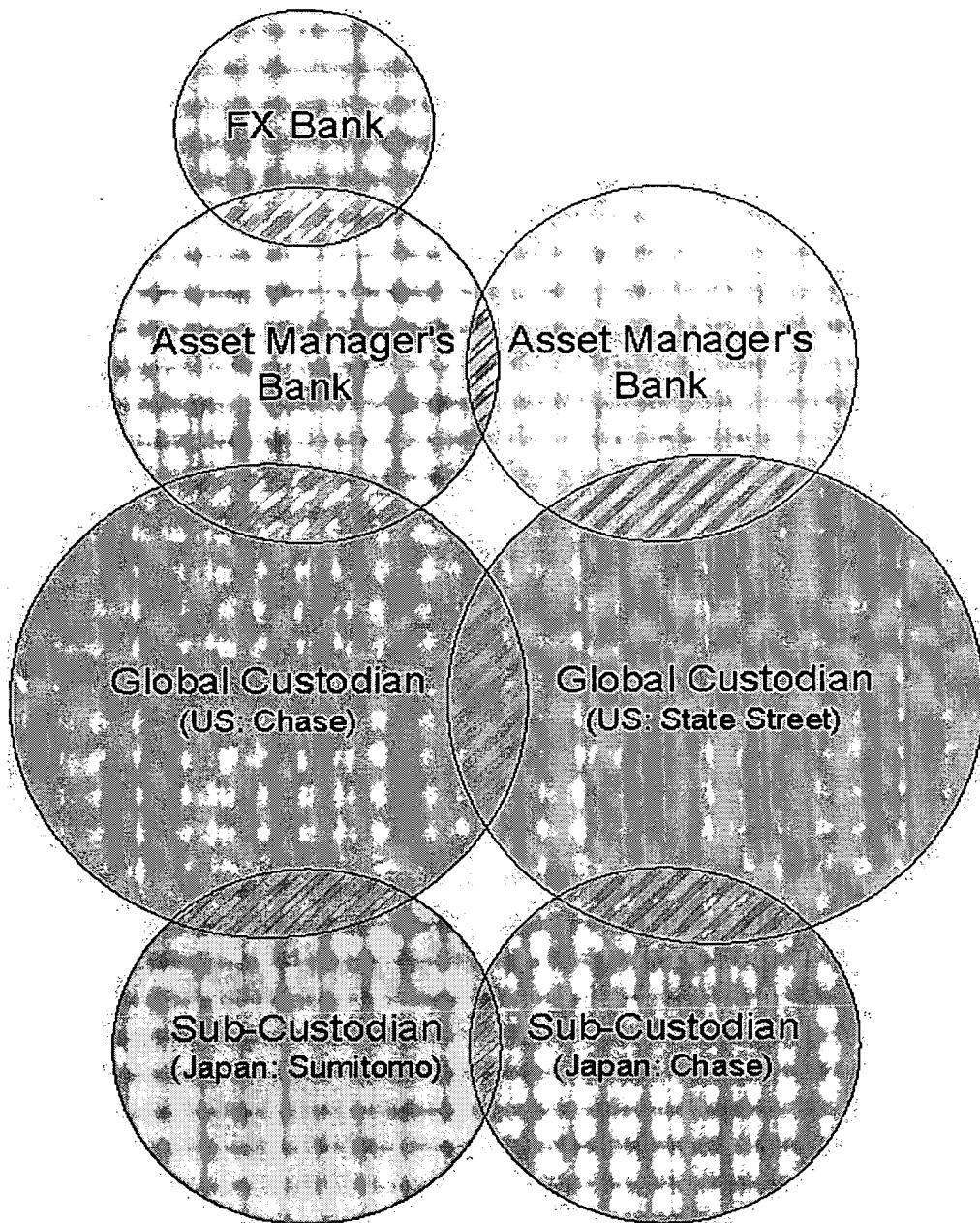
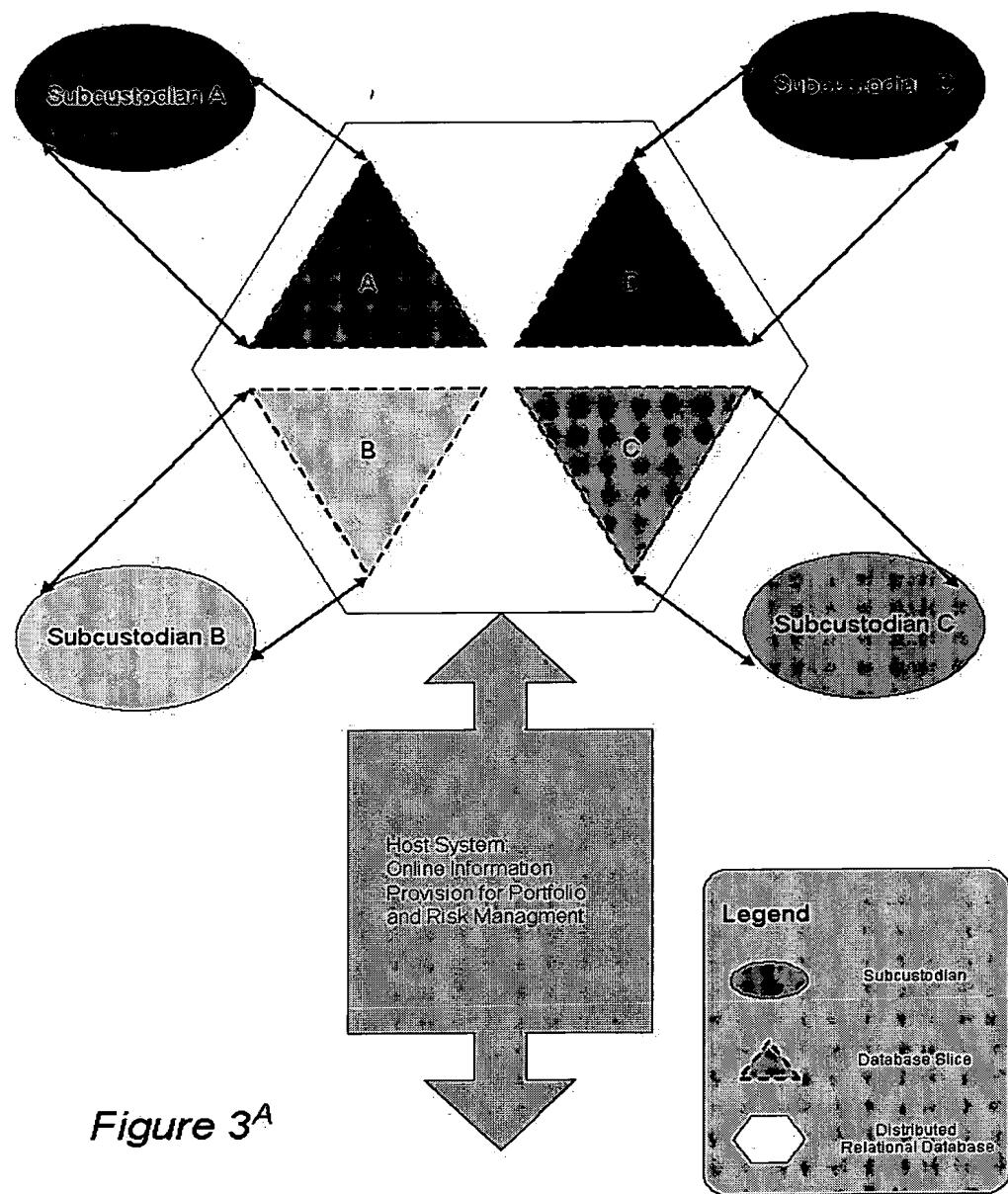


Figure 2

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*Figure 3*



*Figure 3A*

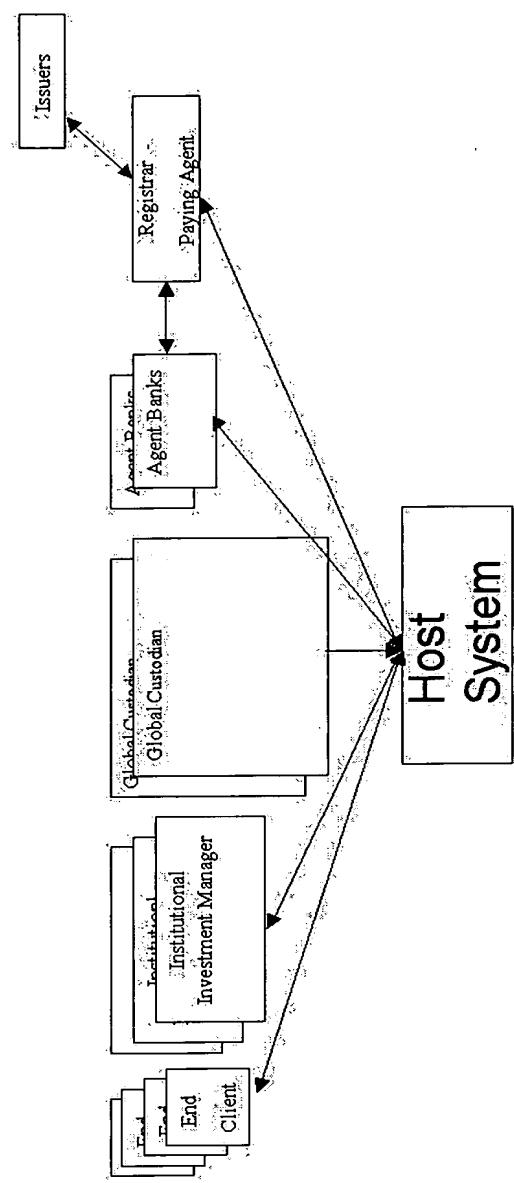
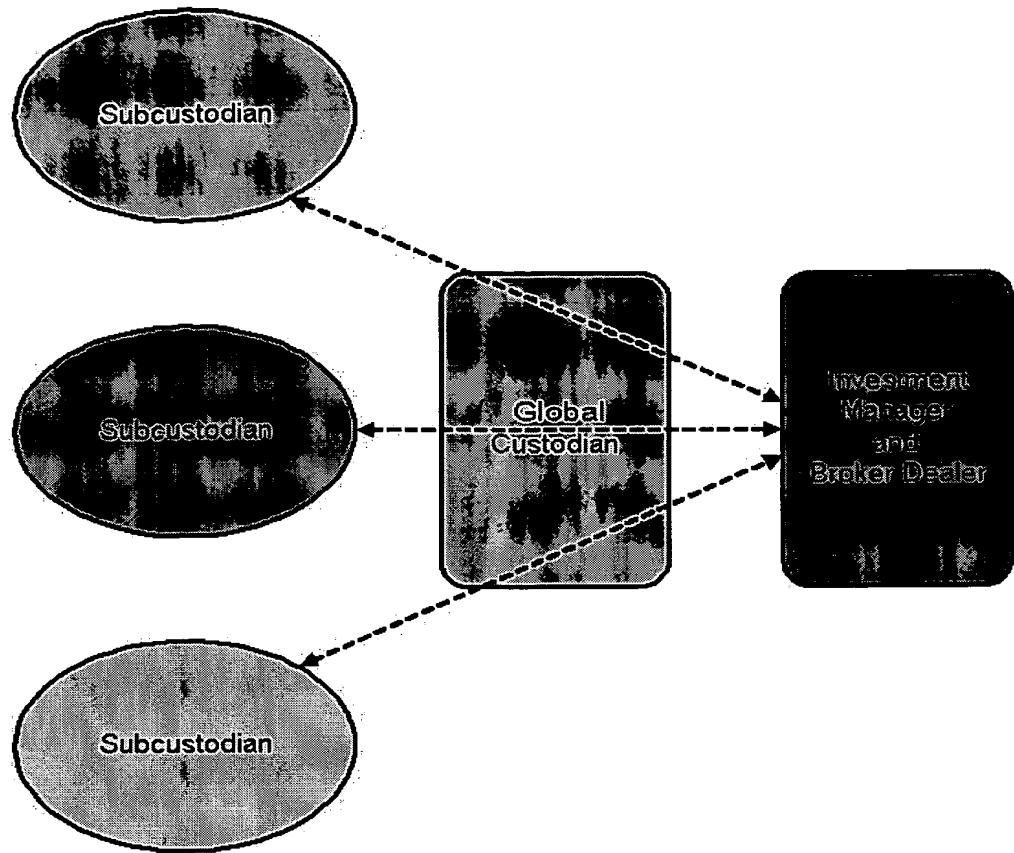
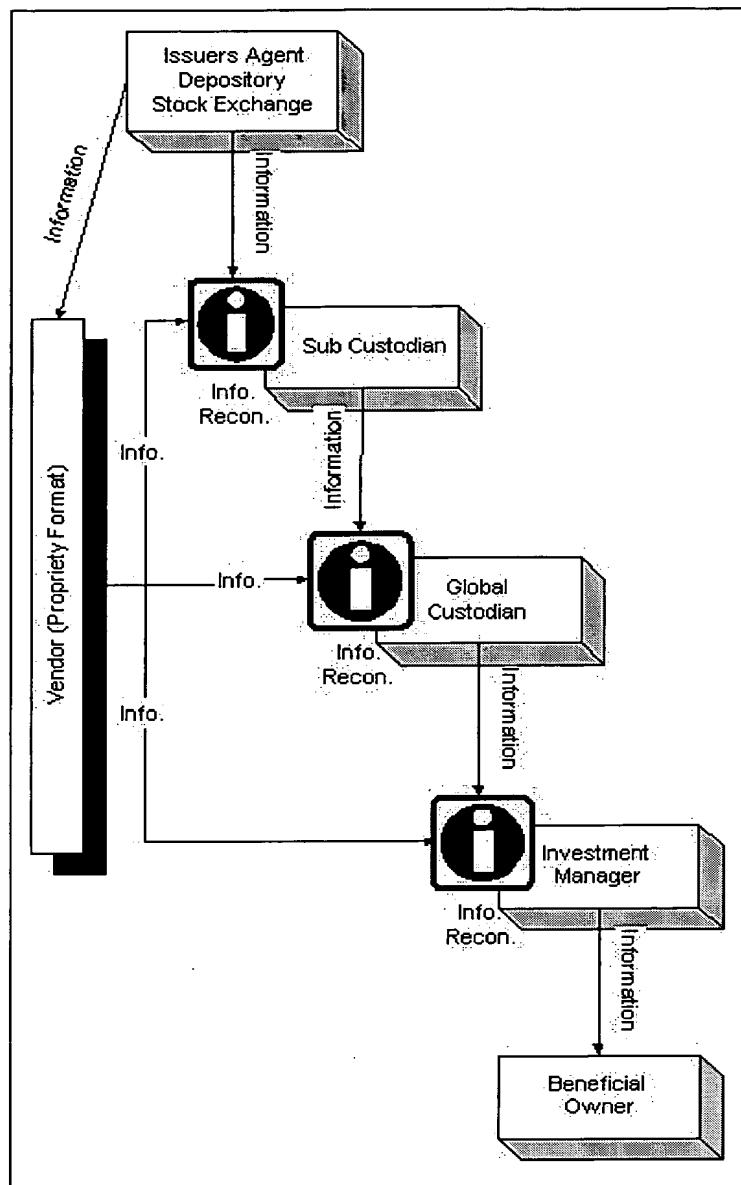


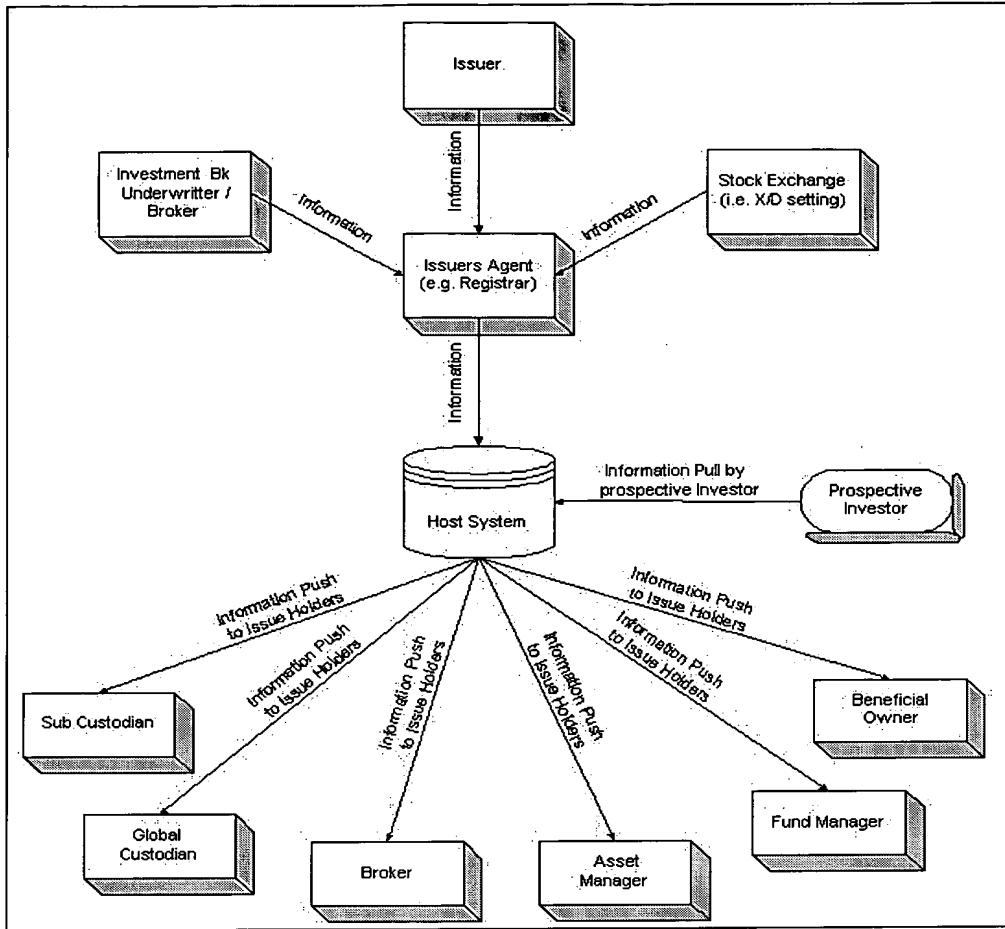
Figure 4



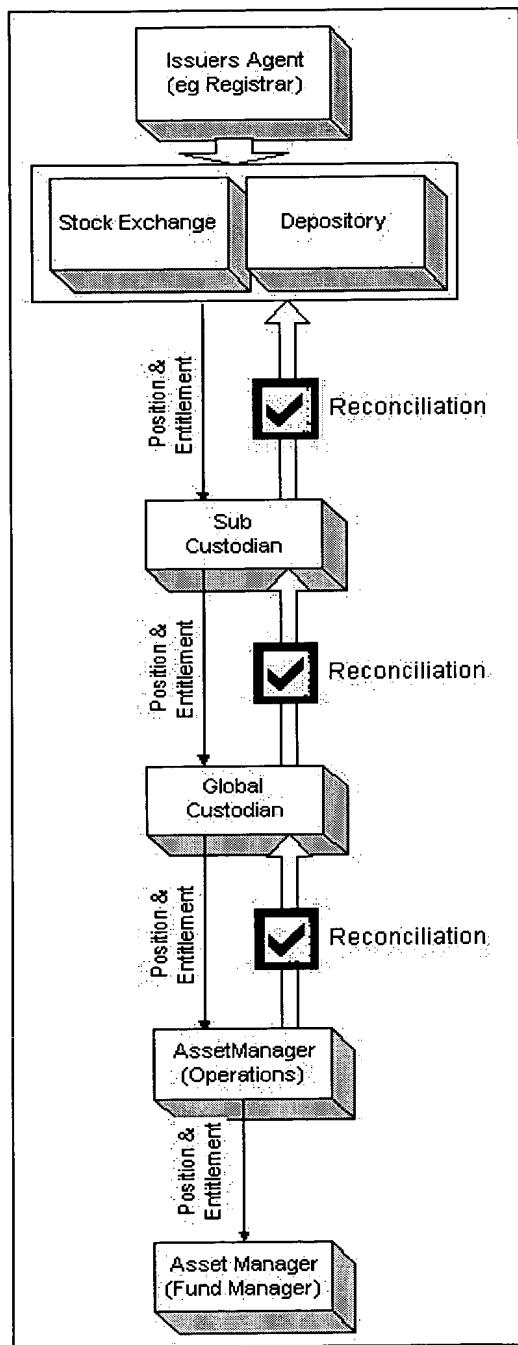
*Figure 5*



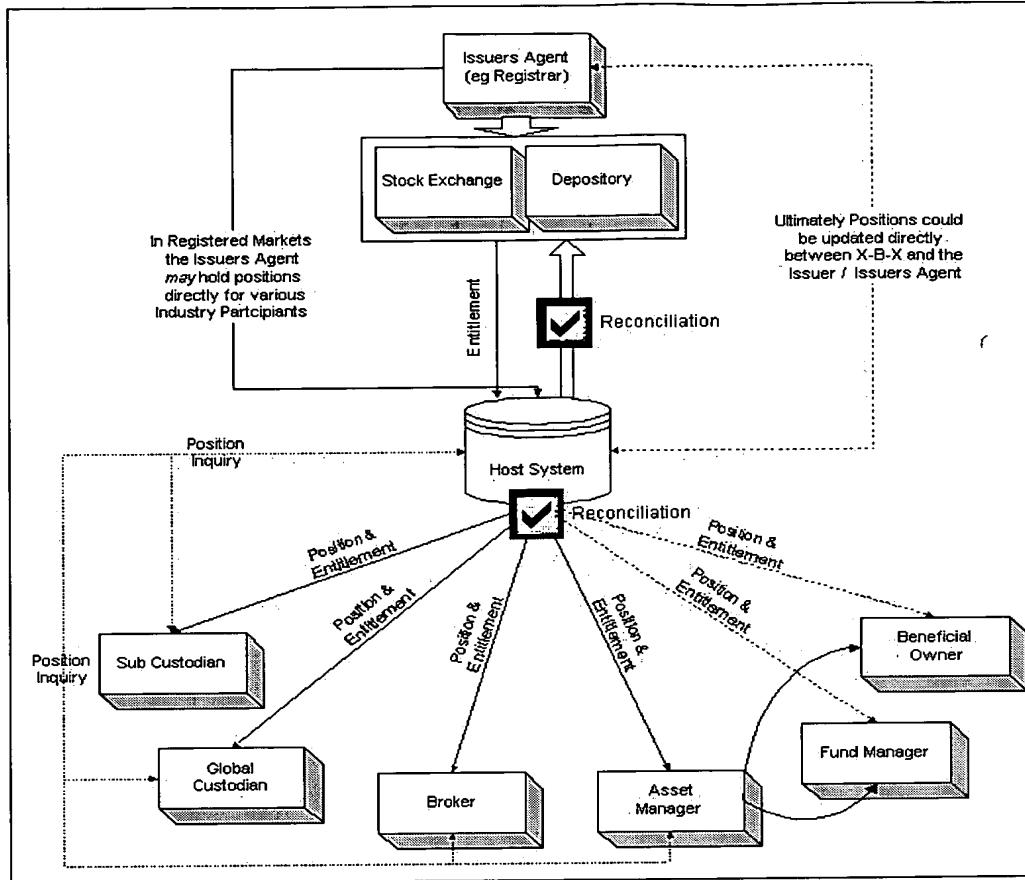
*Figure 6*



*Figure 7*



*Figure 8*



*Figure 9*

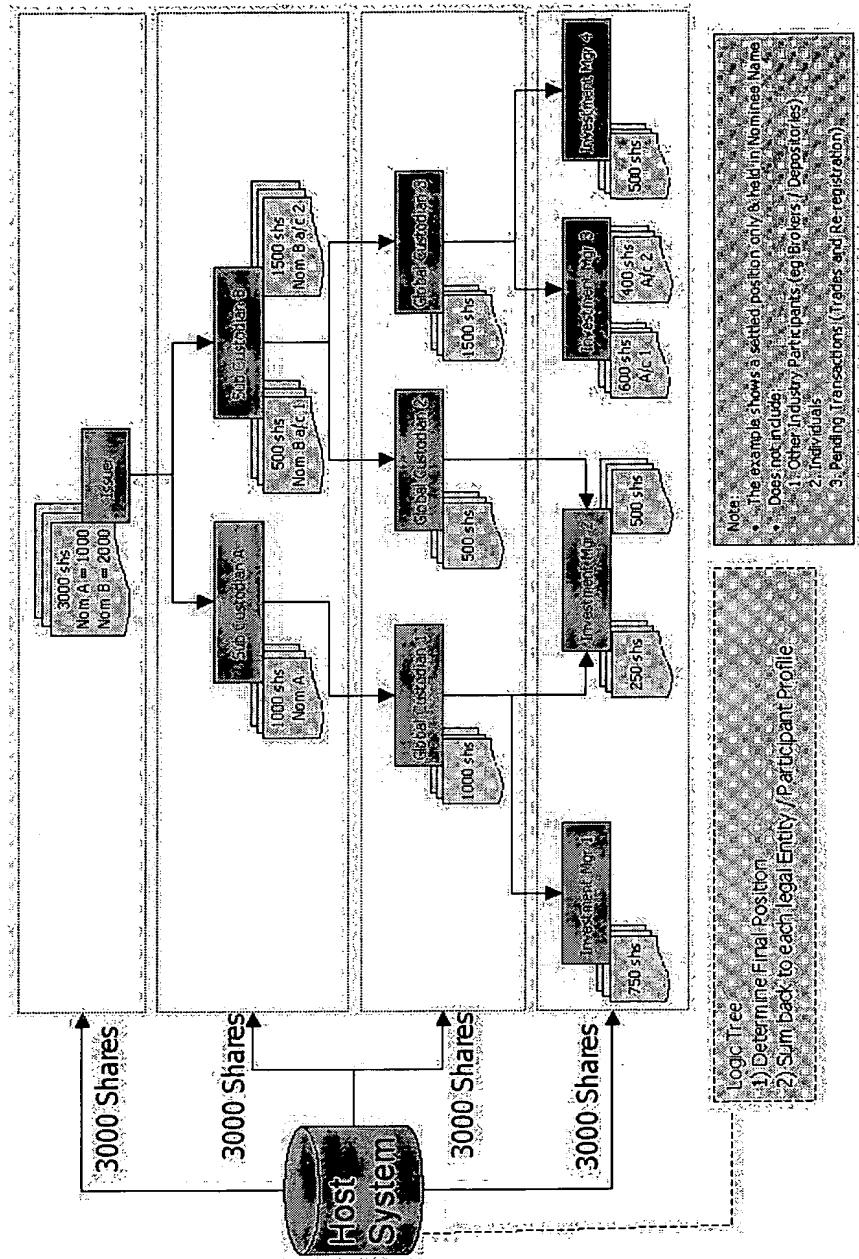


Figure 10

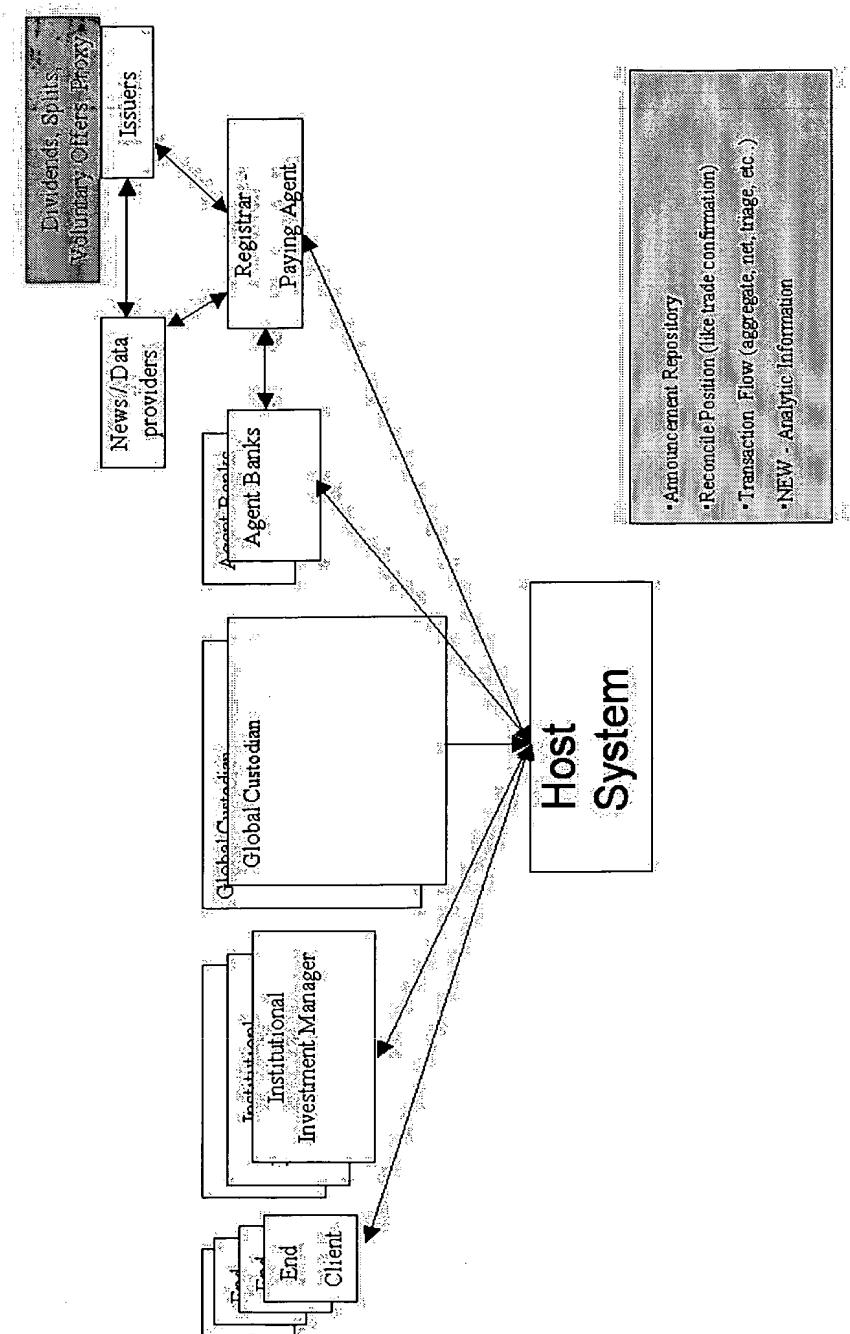


Figure 11

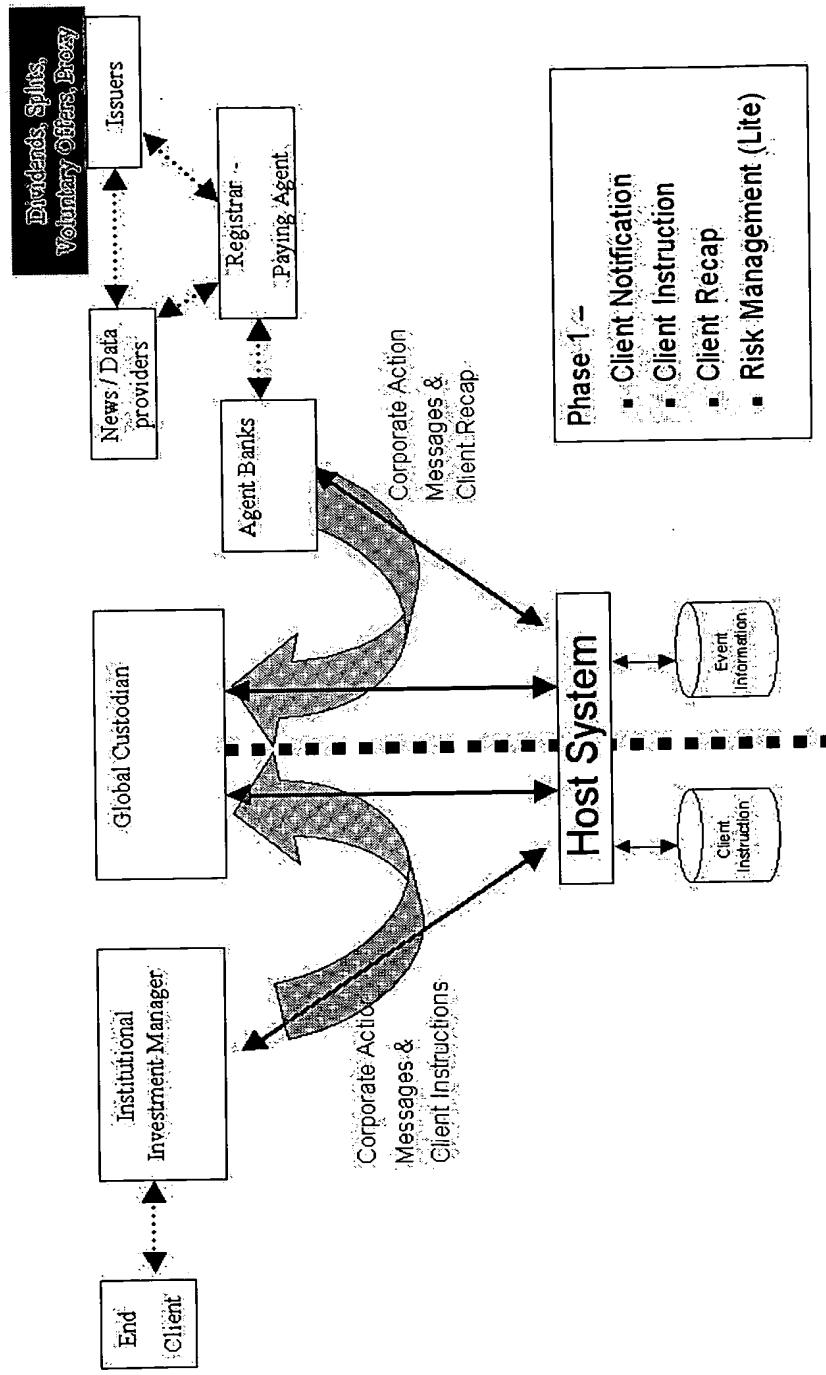


Figure 12

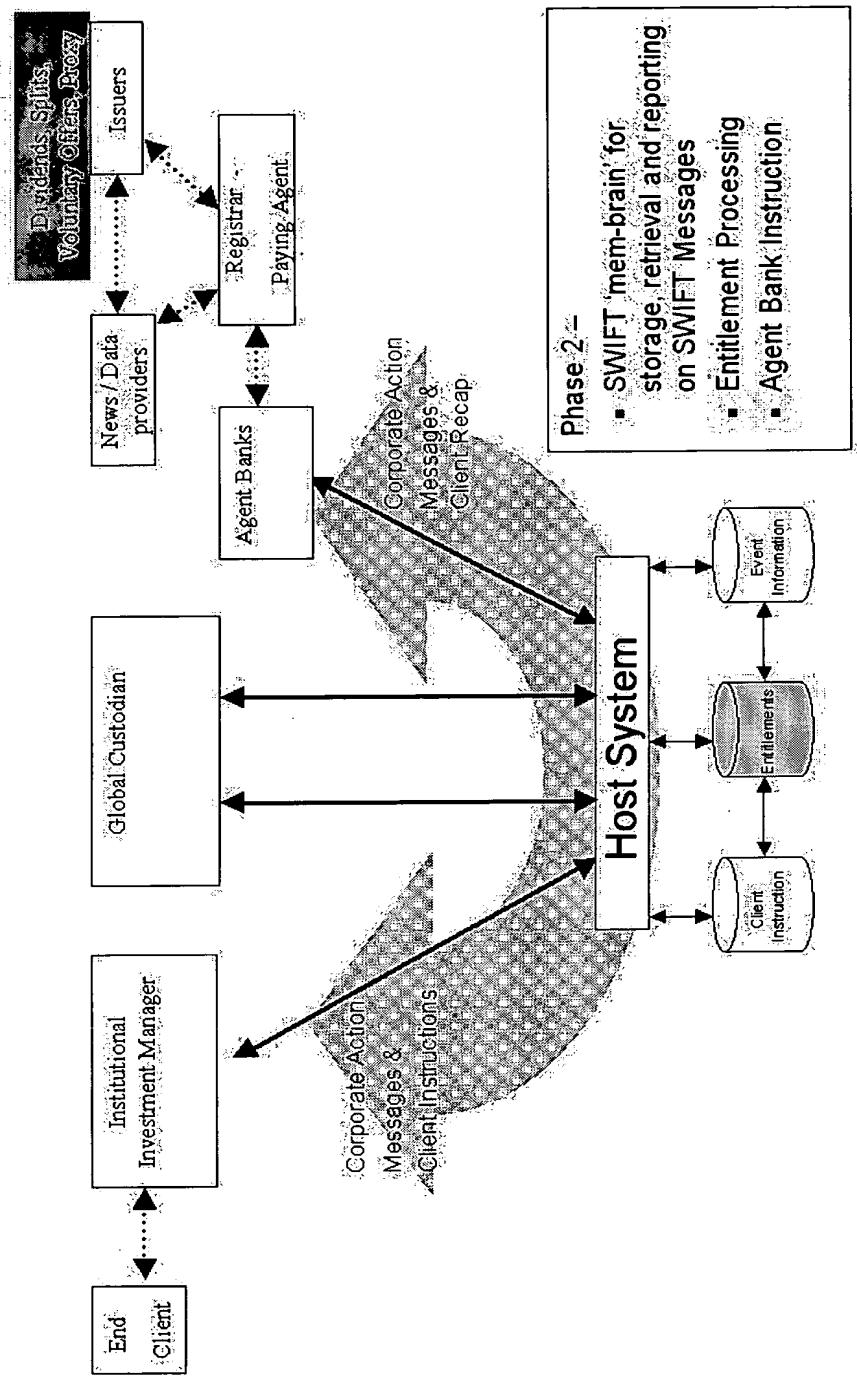


Figure 13

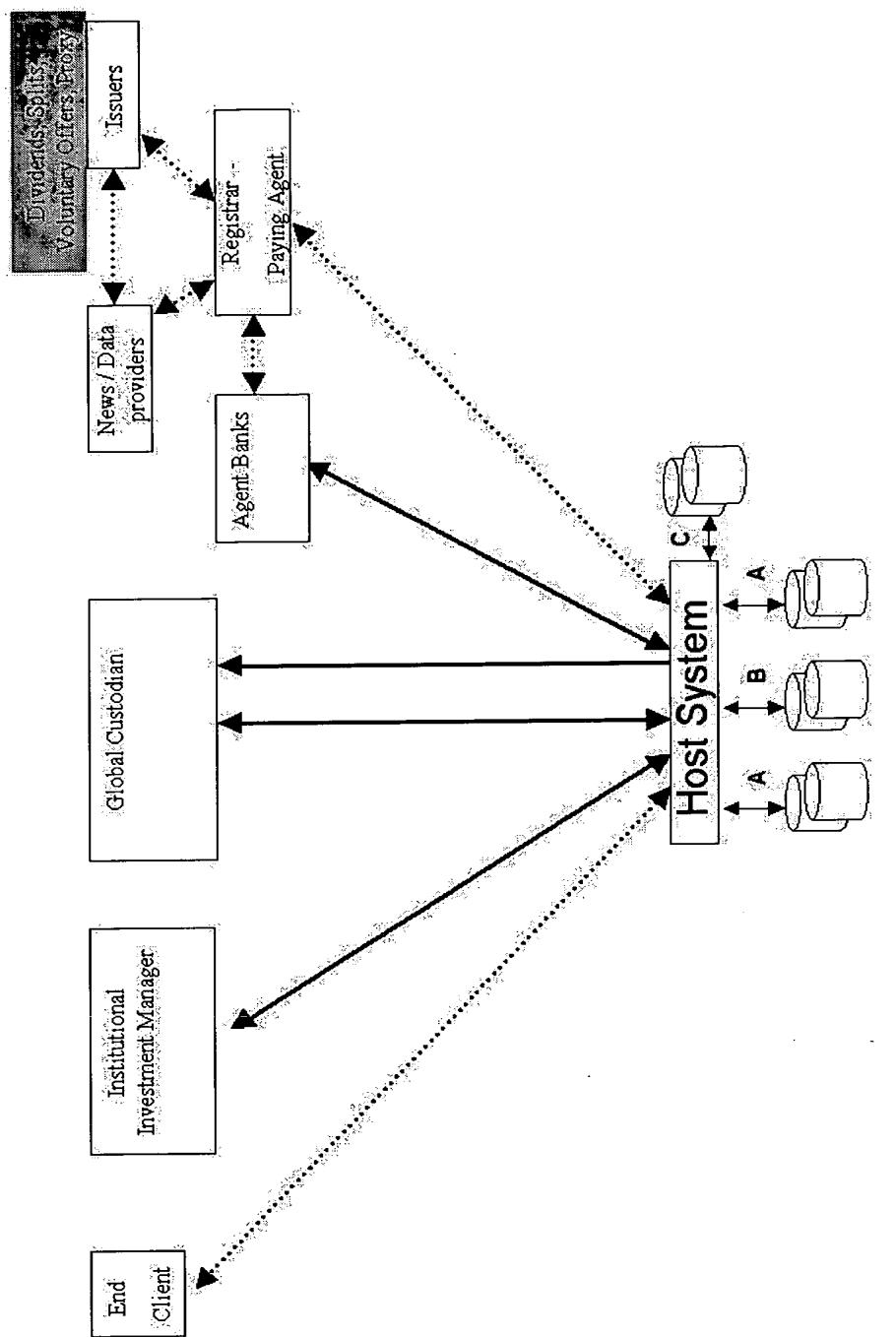


Figure 14

09637651 - 101300

2 Enter Data - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Search Favorites History Go Links

Address: http://localhost/xbwweb/demo3/bdfront/bf\_enter\_details.jsp

[ Enter Data ] Reports Audit Exit Help

**DETAILS**

Customer:	JPeterson	Settle Date:	05 Oct 2000	Ticket Ref:	230549759
Trade Date:	05 Oct 2000	Buy/Sell:	Buy	Symbol:	SNE
Quantity:	0	Security Full Name:	Sony	Currency Code:	JPY
Order Type:	Market	Commission:		Currency:	Japanese Yen
Limit Price:	0.00	Cash Account:	Alliance Capital 3901701	Country:	JP
Default Instructions:	Order	Security Account:	Alliance Capital 3901701		
	Clear				

Done Local intranet

Figure 15

2 http://localhost/xbwweb/demo3/bdback/bdback\_enter\_details.jsp - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Search Favorites History Go Links

Address: http://localhost/xbwweb/demo3/bdback/bdback\_enter\_details.jsp

[ Enter Data ] View Incoming Reports Audit Exit Help

**MULTI ORDER**

**DETAILS**

**Order to Match**

FROM	STOCK TICKER	TICKET REF	BUY/SELL	QUANTITY	UNIT PRICE	CURRENCY CODE	COUNTRY CODE	CASH ACCT	SEC ACCT
Alliance Capital	SNE	230549759	Buy	100		JPY	JP	101	101
				Total:	100	0.0	Average		

**Enter Matching Order(s)**

Trade Date:	05 Oct 2000	Settle Date:	05 Oct 2000	Ticket Ref:	230549759
Buy/Sell:	Sell	Quantity:	0	Symbol:	SNE
Currency Code:	JPY	Currency:	Japanese Yen		
Unit:					

Done Local intranet

Figure 16

09687651 - 101300

http://localhost/xbxweb/demo3/bdback/bdback\_inbound\_all.jsp - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Search Favorites History Go Links

Address http://localhost/xbxweb/demo3/bdback/bdback\_inbound\_all.jsp

Enter Data [ View Incoming ] Reports Audit Exit Help

**Broker Dealer Inbound Buy/Sell Report**

User Name: Merrill Lynch

TRADE ID	FROM	ACCOUNT	QUANTITY	ORDER TYPE
1205	Alliance Capital	2905715	100	Buy Match

ALL BUYS SELLS

Local Intranet

Figure 17

http://localhost/xbxweb/demo3/gc/gc\_audit.jsp - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Search Favorites History Go Links

Address http://localhost/xbxweb/demo3/gc/gc\_audit.jsp

Enter Data View Incoming Reports [ Audit ] Exit Help

**Global Custodian Audit Report**

Month: 1 Year: Send Info

User Name: Chase oct-2000

TRADE ID	HISTORY ID	ORDER ID	MSG FROM	FROM ROLE	RECEIVED BY	RECEIVED BY ROLE	STATUS	BUY/SELL	STOCK	AMOUNT	CURRENCY CODE	QUEUED OR PROCESSED
1205	1377	315	Merrill Lynch	bd	Chase	gc	N	Sell	SNE	5000	JPY	Q

Audit Confirm

Local Intranet

Figure 18

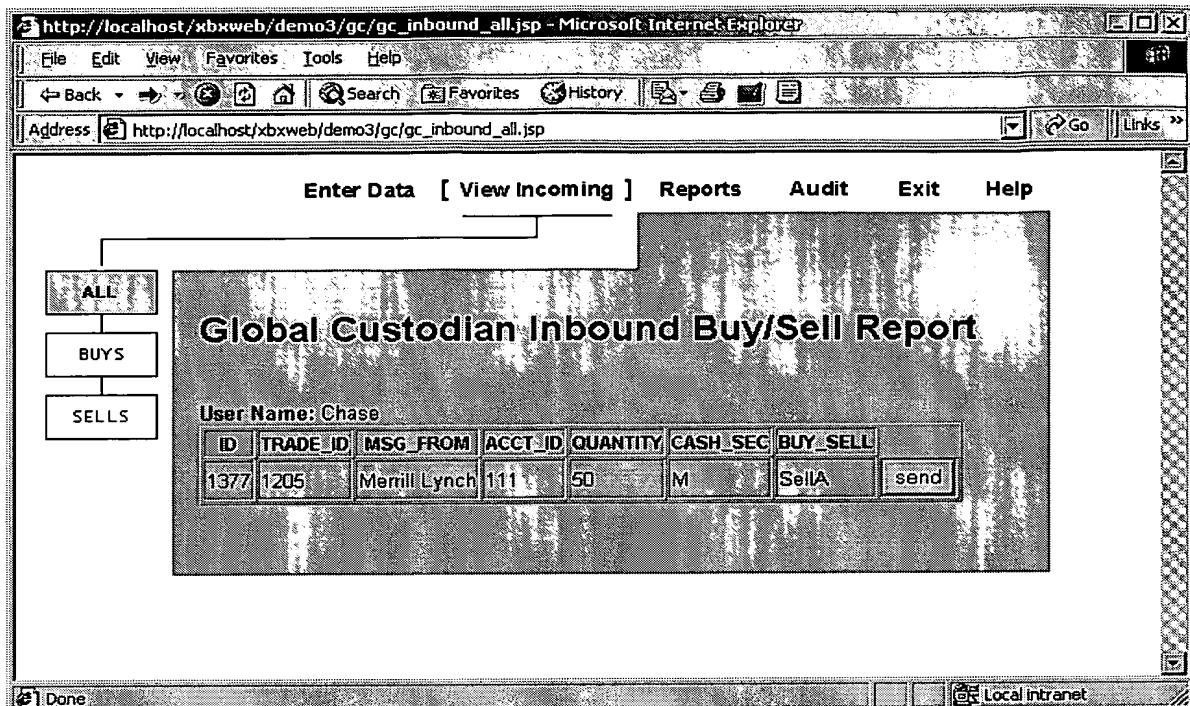


Figure 19

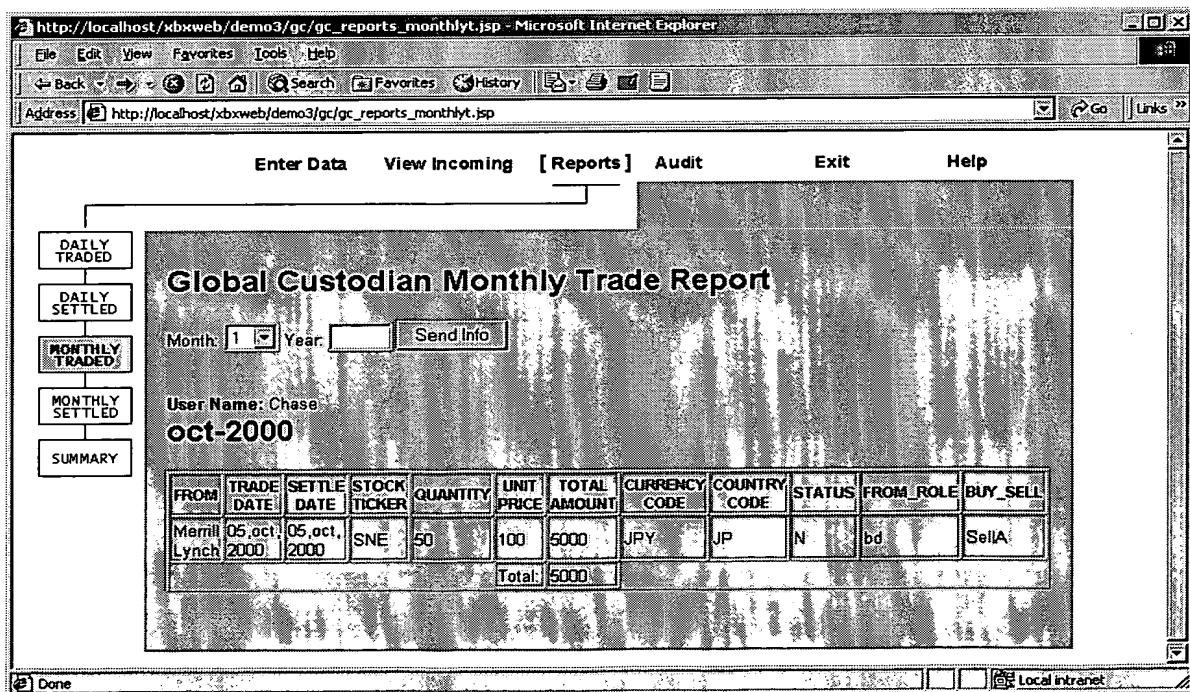


Figure 20

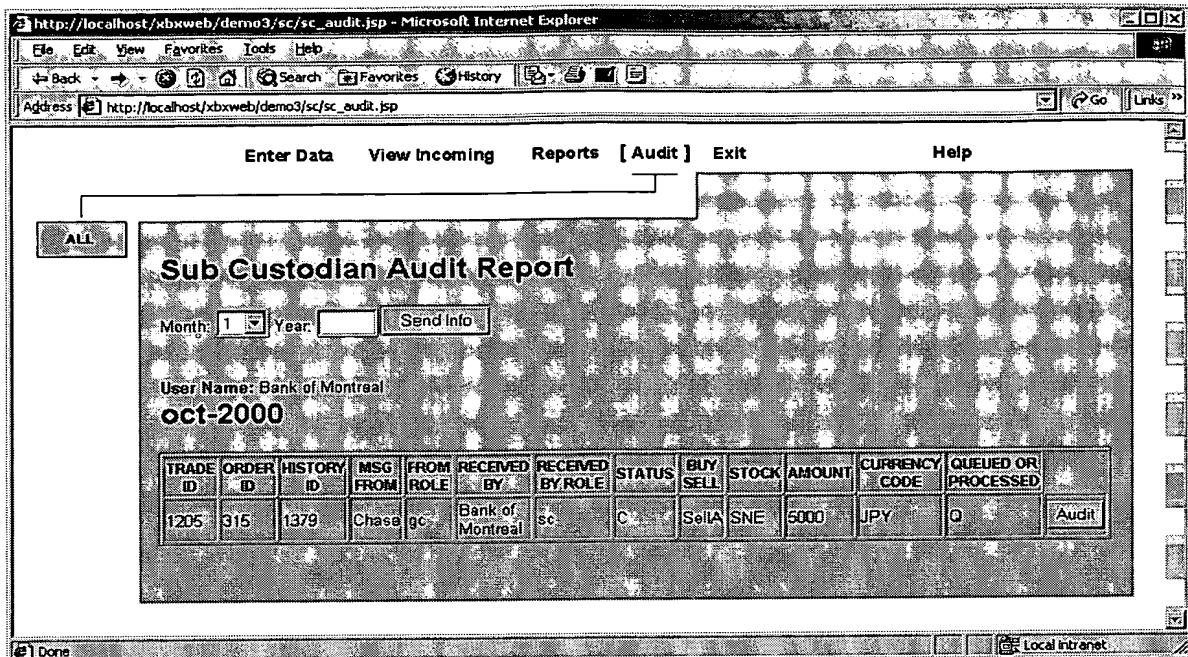


Figure 21

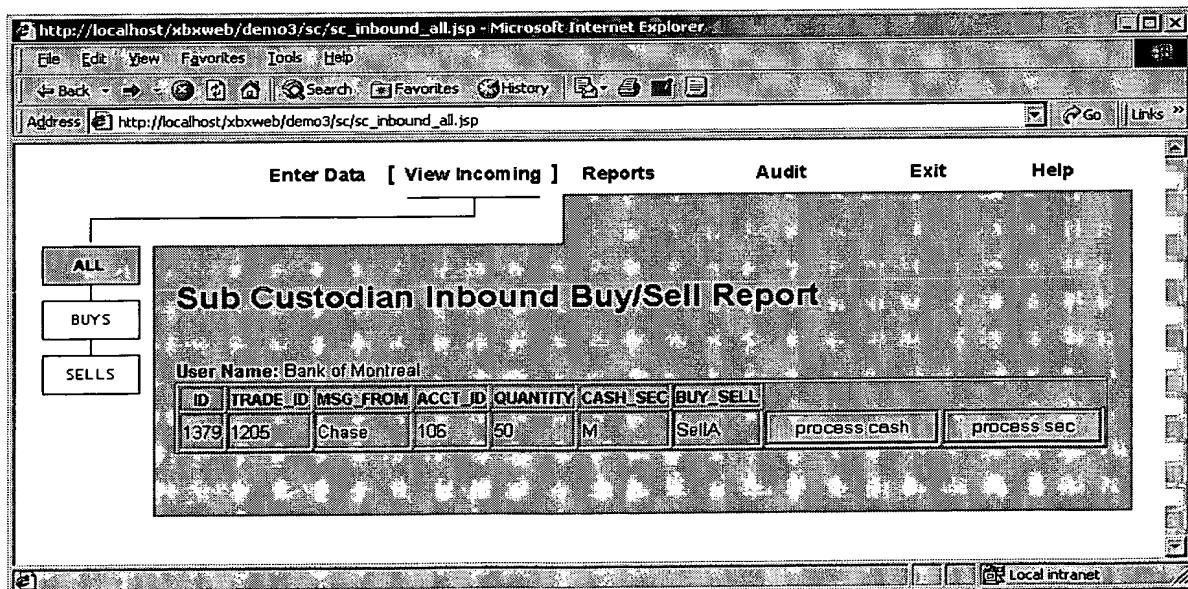


Figure 22

http://localhost/xbxweb/demo3/sc/sc\_reports\_monthlyt.jsp - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Search Favorites History

Address http://localhost/xbxweb/demo3/sc/sc\_reports\_monthlyt.jsp

Enter Data View Incoming [ Reports ] Audit Exit Help

DAILY TRADED

DAILY SETTLED

**MONTHLY TRADED**

MONTHLY SETTLED

SUMMARY

Sub Custodian Monthly Trade Report

Month: 10 Year: 2000 Send Info

User Name: Bank of Montreal  
oct-2000

FROM	TRADE DATE	SETTLE DATE	STOCK TICKER	QUANTITY	UNIT PRICE	TOTAL AMOUNT	CURRENCY CODE	COUNTRY CODE	STATUS	FROM_ROLE	BUY_SELL
Chase	05.oct.2000	05.oct.2000	SNE	50	100	5000	JPY	JP	C	gc	SellA
Total: 5000											

[ Done ] Local intranet

Figure 23

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